

# Retirement Specialized Investment Request

Use this form to participate in certain securities and bond offerings ("Investments") for your Traditional IRA, Roth IRA, Rollover IRA, SEP IRA, SIMPLE IRA, Inherited IRA, Inherited Roth IRA, or Defined Contribution Retirement Plan account ("Retirement Account"). Type on screen or fill in using CAPITAL letters and black ink. If you need more room for information, use a copy of the relevant page.

## Helpful to Know

- You must also submit a completed purchase document (i.e., subscription agreement, memorandum, or request form) ("Issuer Document") from the Issuer, managing underwriter, transfer agent, or bank (as applicable) ("Issuer") along with this form to:  
Fidelity Investments  
Attn: Distribution Services  
1 Destiny Way  
Westlake, TX 76262
  - The Investment purchase may be in connection with:
    - State of Israel Bonds
    - Bank Initial Public Offering ("Bank IPO") that will be trading on a domestic exchange
    - A Private Investment in Public Equity ("PIPE") where the underlying individual equity trades on a domestic exchange. These investments are typically registered with the SEC, cleared for trading, and have a CUSIP number or ticker symbol assigned to them. However, consult the Issuer Document to determine the qualifications of the Investments.
  - Fidelity Brokerage Services LLC ("FBS") reserves the right to reject a request that does not meet the eligibility requirements. Upon receipt of the required paperwork in good order, FBS will submit the instruction to the Issuer to purchase Investments on your behalf. The subscription amount that you request may or may not equal the amount allotted by the Issuer based on a variety of factors, including the demand for the offering, eligibility, the discretion of the Issuer, or other factors. FBS will adjust its books and records in accordance with the Issuer's instructions.
  - To be eligible for participation, customers must either have a High Net Worth ("HNW") relationship, or a minimum of \$500,000 net worth in retail assets listed under the account owner's Social Security number at the time of the purchase. (Note: This does not include annuity or institutional, such as 401[k] or 403[b], assets.)
- Note:** A Medallion signature guarantee is required in Section 3 if the value of the investment exceeds \$100,000, or the purchase will be funded via a bank wire.

## 1. Account Owner Information

Phone number may be used if we have questions, but it will not be used to update your account information.

Account Number	Name
Primary Phone	

## 2. Type of Investment

- State of Israel Bond
- Bank IPO
- PIPE

Investment Name	CUSIP or Symbol	Number of Shares

Expected Public Offering Date *IPO or PIPE Only*

Date MM DD YYYY

Price Per Share

Aggregated Price

Form continues on next page. >>

### 3. Signature and Date *Account Owner must sign and date.*

By signing below, you:

- Direct FBS or its affiliate, National Financial Services LLC (together "Fidelity"), to submit the attached Issuer Document relating to the Investments identified above to the Issuer, and to take such other actions as may be necessary to complete such subscription, including the delivery of payment for the purchase amount out of your Retirement Account. Upon receipt from the Issuer, you direct that the Investments be held in your Retirement Account.
- Acknowledge and agree that, in limited instances, Investments may not be publicly traded and that the value of such Investments may be difficult to ascertain; and any value reflected on your Retirement Account statement may be significantly different than the actual market value or the liquidation value of such Investments.
- Agree to indemnify and hold Fidelity harmless from and against any and all losses, liabilities, claims, and costs (including reasonable attorneys' fees) that are in any way connected with your instructions or with any telephone, Internet, or other electronic request for redemption so long as Fidelity transmits the redemption proceeds to the Issuer identified above. You further agree that the indemnifications in this bullet are in addition to, and do not limit, any rights that Fidelity may have under any other agreement with you.
- Acknowledge and agree that, to induce Fidelity to purchase the Investments, Fidelity will use its best efforts in connection with performing the functions requested herein and that Fidelity assumes no responsibility whatsoever for validity, accuracy, or enforceability of the documents evidencing ownership of the Investments.
- Acknowledge that investing in publicly or nonpublicly traded securities, including the Investments in your Retirement Account, can be very risky. You acknowledge that you are responsible for determining the nature, potential value, and suitability of the Investments. Fidelity has not provided any advice or guidance on the suitability of the Investments for you, your Retirement Account, or value of the Investments. You acknowledge that certain Investments may not be covered by SIPC or by any additional insurance coverage in excess of SIPC otherwise made available by Fidelity.

#### 3a. Account Owner Signature and Date

**A Medallion signature guarantee is required for the Account Owner if the value of the transfer is greater than \$100,000, or the purchase will be made via a bank wire.**

If the form is completed at a Fidelity Investor Center with all signers present, the Medallion signature guarantee is not required. You can get a Medallion signature guarantee from most banks, credit unions, and other financial institutions. **A notary seal/stamp is NOT a signature guarantee.**

PRINT ACCOUNT OWNER NAME	
SIGN	ACCOUNT OWNER SIGNATURE
	X
DATE	TODAY'S DATE MM/DD/YYYY
	X

▼ MEDALLION SIGNATURE GUARANTEE ▼

#### 3b. Plan Administrator Signature and Date *For Defined Contribution Retirement Plan Account Holders*

If the account referenced in Section 1 of this form is a Defined Contribution Retirement Plan Account, then the Plan Administrator administering the Defined Contribution Retirement Plan must also sign below. By signing below, you hereby acknowledge that you have read, understand, and agree to be bound by the terms and conditions in this form. You acknowledge that you assume full responsibility for reviewing the terms and conditions of all offering and disclosure documents for the Investments and you ensure 1) that all securities held in the Retirement Account are in accordance with the terms and conditions of the Defined Contribution Retirement Plan and separate Trust Agreement governing the Retirement Account; 2) that maintaining the Investment does not constitute a prohibited transaction as defined under the Employee Retirement Income Security Act of 1974 ("ERISA") and the Internal Revenue Code ("IRC") and related regulations, interpretations, and guidance; 3) that holding the Investments is in compliance with applicable laws, rules, and regulations, including ERISA and the IRC; and 4) that you understand your obligation to operate the plan in accordance with plan documents, including the plan requirement to apply minimum distribution requirements, notwithstanding possible liquidity and validation challenges that may be applicable to the Investments.

PRINT PLAN ADMINISTRATOR NAME	
SIGN	PLAN ADMINISTRATOR SIGNATURE
	X
TODAY'S DATE MM/DD/YYYY	

Form ends here.



## 4. Scope and Allocation of Responsibilities

### Scope and Allocation of Responsibilities

#### a. Your Responsibilities

By signing in Section 3, you acknowledge that you will have responsibility for the investment, review, and management of the Investments. You take full responsibility for determining the appropriateness and suitability of the Investments and for reviewing the terms of all offering and disclosure documents and agreements affecting or pertaining to the Investments, and for monitoring the Investments for any conversion or exercise options or other corporate actions. You are also responsible for monitoring your Retirement Account statements to confirm the accuracy of any information relating to the Investments and any required payment due to you or from you relating to the Investments. You also represent and acknowledge that it is your responsibility and not Fidelity's to ascertain that you meet any of the issuer's requirements as provided in the Issuer Document connected with the Investments.

You assume full responsibility for ensuring that all securities and/or property held in your Retirement Account is/are held in accordance with the terms and conditions of the governing Fidelity IRA Custodial Agreement or Defined Contribution Retirement Plan document, as applicable. You acknowledge that you assume full responsibility for reviewing the terms of the Investments to ensure that maintaining the Investments in your Retirement Account, does not and will not constitute a prohibited transaction as defined under ERISA and the IRC and related regulations, interpretations, and guidance and is otherwise in compliance with all applicable laws, rules, and regulations. With respect to your Retirement Account, you represent (and with each instruction to hold or purchase Investments will be deemed to have repeated such representation) that holding the Investments in your Retirement Account is in compliance with all applicable laws, rules and regulations, including ERISA and the IRC. In addition, you represent that you assume full responsibility for satisfying applicable Internal Revenue Service ("IRS") minimum distribution requirements, notwithstanding any unique liquidity and valuation challenges that might exist with respect to the Investments. If the Issuer fails to deliver the certificate or other acceptable proof of ownership to Fidelity, the amount distributed from the Retirement Account may need to be reported to the IRS as a reportable retirement distribution. In the event that such a tax reportable distribution occurs, you authorize Fidelity to not withhold state or federal taxes. You further understand and acknowledge that you may incur additional tax penalties related to estimated tax payment rules as a result of no taxes being withheld on such a distribution or as a result of an early withdrawal.

#### b. Fidelity's Responsibilities

You acknowledge that Fidelity shall implement instructions it receives from you to purchase and hold the Investments. Fidelity is not responsible for determining the appropriateness or suitability of the Investments or any other investments in your Retirement Account. Any documentation necessary to facilitate the purchase of the Investments will be used by Fidelity solely for operational purposes. Fidelity shall not undertake to review or assume responsibility for the terms and conditions or contents set forth in such documentation, including, but not limited to, appropriateness or suitability, restrictions of ownership, rights of transfer, financial statements, or the adequacy of disclosure or compliance with applicable laws, rules, and regulations.

You acknowledge that Fidelity and/or Fidelity Management Trust Company ("FMTC") shall have no responsibilities with respect to the Investments other than:

- (a) to hold the Investments in nominee's name or, if applicable, in your name;
- (b) to purchase the Investments in accordance with your instructions, subject to satisfaction of Fidelity's custody requirements;
- (c) to reflect the Investments on Retirement Account statements;
- (d) to credit the Retirement Account with any earnings or principal payments received with regard to the Investments;
- (e) when required by law, to forward to you any Issuer communications received by Fidelity with respect to the Investments; and
- (f) to perform the duties of the IRA Custodian or Trustee of the money purchase or profit sharing plan in accordance with the terms of the applicable Fidelity IRA Custodial Agreement or the Defined Contribution Retirement Plan document governing your Retirement Account.

**You further acknowledge that Fidelity shall have no responsibility for monitoring the Investments to assure compliance with their respective terms, for taking any actions to collect on any amount owed to you, or for otherwise enforcing your rights with respect to the Investments.**

**Did you print and sign the form?** Send the ENTIRE form to Fidelity Investments.

**Questions?** Go to [Fidelity.com](https://www.fidelity.com) or call 800-343-3548.

**Regular/Overnight mail**  
Fidelity Investments  
Attn: Distribution Services  
1 Destiny Way  
Westlake, TX 76262

*On this form, "Fidelity" means Fidelity Brokerage Services LLC and its affiliates.  
Brokerage services are provided by Fidelity Brokerage Services LLC, Member NYSE, SIPC. 357027.8.0 (11/25)*