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Fidelity INVESTMENTS

Questions? Go to Fidelity.com/college or call 1-800-544-1914.

Rollover Request—529 College Savings Plan

Use this form to initiate a rollover or deposit of your 529 College Savings Plan, Coverdell education savings account, a qualified U.S. Savings Bond, or another 529 College Savings Plan ("529 plan") to a 529 plan at Fidelity. Type on screen or fill in using CAPITAL letters and black ink. If you need more room for information or signatures, use a copy of the relevant page.

Helpful to Know

- According to IRS regulations, you are eligible to roll over from another 529 plan once every 12 months without changing the beneficiary. To be valid, a rollover from another 529 plan must be made within 60 days of the distribution from the other 529 plan.
- Submit this form directly to Fidelity, not to the 529 plan administrator from which you are requesting the funds to be transferred.
- If you do not already have a Fidelity-managed 529 plan for this beneficiary, complete a 529 College Savings Plan new account application and submit it along with this form. If you are rolling over a UGMA/UTMA 529 plan, you must establish a Fidelity-managed UGMA/UTMA 529 plan account for the same beneficiary.
- If you have already received a check from your 529 plan, include it with this form/application.
- If you do not have a check yet, Fidelity will contact the plan administrator and instruct it to liquidate and transfer the funds directly to us. **Fidelity is not responsible for market fluctuation on requests with written liquidation instructions.**
- You are responsible for providing Fidelity with the principal and earnings breakdown of the amount of this rollover. See Section 3 for documentation requirements.
- Your current 529 plan administrator may require specific forms or signatures to roll over your 529 plan assets to Fidelity. The administrator may also send you a rollover check directly. Check with your current 529 plan administrator to verify requirements.
- Do NOT use this form to change the beneficiary on your 529 plan. To change the beneficiary, visit Fidelity.com to complete the transaction online or to obtain the correct form. You may not change the beneficiary on a custodial 529 plan.

1. Fidelity-managed 529 Plan Information

Participant (Owner)/Authorized Individual

Account Number
6

Name

Social Security or Taxpayer ID Number

Phone numbers may be used if we have questions, but will not be used to update your account information.

Evening Phone

Daytime Phone

Beneficiary *Student Information*

Name

Social Security or Taxpayer ID Number

Date of Birth *MM DD YYYY*

Form continues on next page.

2. Rollover between Fidelity-managed 529 Plans *Complete only if both accounts are held with Fidelity.*

Provide the account number of the account to be rolled over and the beneficiary name on that account. The name must match the beneficiary named in Section 1.

Beneficiary Name	Account Number

☐ Full Rollover

Attach extra sheets for additional portfolio listings.

☐ Partial Rollover—Transfer **only** this amount.

Total Amount
$

Portfolio Name	Dollar Amount		
	$	OR	☐ Full Portfolio Distribution
	$	OR	☐ Full Portfolio Distribution
	$	OR	☐ Full Portfolio Distribution
	$	OR	☐ Full Portfolio Distribution

3. 60-Day Rollover from a 529 Plan Not Administered by Fidelity *Complete only if you have received a check from your 529 plan to include with this form.*

Check the appropriate box as to the type of rollover, and provide the specified documentation so that your principal and earnings amounts are appropriately recorded. Until such time as Fidelity receives the specified documentation, we must, by law, treat the entire amount of the contribution as earnings in the 529 plan account receiving the distribution.

☐ Rollover from a Coverdell education savings account ▷ *Provide an account statement issued by the financial institution that acted as trustee or custodian of the account, showing basis and earnings in the account.*

☐ Rollover from the redemption of qualified U.S. Savings Bonds ▷ *Provide an account statement or Form 1099-INT issued by the financial institution that redeemed the bonds, showing interest from the redemption of the bonds.*

☐ Rollover from another 529 Plan ▷ *Provide a statement issued by the distributing 529 program, showing the earnings portion of the distribution.*

Form continues on next page. ▶▶

4. Transfer of Assets from a 529 Plan Not Administered by Fidelity

Check the appropriate box as to the type of 529 plan account you are requesting to transfer to Fidelity. For an account owned by a trust, provide the trustee's information. For an UGMA/UTMA account, provide the custodian's information. Fidelity will request the additional required principal and earnings documentation from the current 529 plan administrator on your behalf during the transfer process.

Account Information

- ☐ Individual
- ☐ Joint
- ☐ Trust
- ☐ UGMA/UTMA

Account Number	
Participant/Trustee Name	Social Security or Taxpayer ID Number
Trust Name *if applicable*	Social Security or Taxpayer ID Number
Beneficiary Name	Social Security or Taxpayer ID Number

Transferring Firm Information

Note: *If you are requesting a transfer from a Coverdell education savings account, you may need to contact the transferring firm to request a liquidation.*

Transferring Firm Name		
Contact Person		
Daytime Phone	Extension	
Transferring Firm Address		
City	State	ZIP Code

Transfer Instructions

Check only one. ▶

☐ Full Liquidation—Liquidate and transfer my entire account. ▷ *Skip to Section 5.*

☐ Partial Liquidation—Liquidate and transfer **only** a part of my account, as detailed below:

Total Amount
$.

Attach extra sheets for additional portfolio listings.

Portfolio Name	Dollar Amount		
	$.	OR	☐ Full Portfolio Distribution
	$.	OR	☐ Full Portfolio Distribution
	$.	OR	☐ Full Portfolio Distribution
	$.	OR	☐ Full Portfolio Distribution

Form continues on next page. ▶▶

5. Signature *All owners of both accounts must sign this form*

By signing below, you:

- Certify that you have read the Fact Kit and Participation Agreement.
- Understand that IRS regulations allow one rollover per beneficiary during a 12-month period without changing the beneficiary. Otherwise, a change in beneficiary is required if a transaction is to be considered a rollover. All rollovers must be completed within 60 days of the time of distribution or from when the transfer leaves the account.

PRINT OWNER/AUTHORIZED INDIVIDUAL NAME

SIGN

OWNER/AUTHORIZED INDIVIDUAL SIGNATURE

X

DATE

TODAY'S DATE *MM/DD/YYYY*

X

MEDALLION SIGNATURE GUARANTEE

PRINT OWNER/AUTHORIZED INDIVIDUAL NAME

SIGN

OWNER/AUTHORIZED INDIVIDUAL SIGNATURE

X

DATE

TODAY'S DATE *MM/DD/YYYY*

X

MEDALLION SIGNATURE GUARANTEE

Delivering Firm Use Only

To the previous trustee or custodian: Please be advised that Fidelity Brokerage Services LLC has established a 529 account to receive the distribution from the 529 plan referenced in Section 4. Fidelity Brokerage Services LLC will accept the proceeds from the 529 plan you administer and place the proceeds in a brokerage account that will hold units of interest in a Fidelity-managed 529 plan. Please provide documentation so that principal and earnings amounts are appropriately recorded.

Successor Custodian/Trustee Signature

Did you sign the form? Send the ENTIRE form and any required documents to Fidelity Investments.

Questions? Go to Fidelity.com/college or call 1-800-544-1914.

Make checks payable to: Fidelity Brokerage Services LLC

Use postage-paid envelope, drop off at a Fidelity Investor Center, OR deliver to:

Regular mail
Fidelity Investments
Attn.: CPSC
P.O. Box 770001
Cincinnati, OH 45277-0015

Overnight mail
Fidelity Investments
Attn.: CPSC
100 Crosby Parkway KC1K
Covington, KY 41015