

# Beneficiary Change — UNIQUE College Investing Plan

Use this form to transfer the account balance from your existing UNIQUE College Investing Plan account to a UNIQUE College Investing Plan account for the benefit of a new beneficiary.

**Once a 529 plan account is established under the Uniform Gifts to Minors Act or Uniform Transfers to Minors Act (UGMA/UTMA), the beneficiary of the UGMA/UTMA 529 plan account cannot be changed.**

Type on screen or fill in using CAPITAL letters and black ink. If you need more room for information or signatures, make a copy of the relevant page.

## Helpful to Know

- If the new beneficiary does not have an existing account, you will need to complete a new Account Application and return it with this form.
- If the new beneficiary named in Section 2 is a "member of the family" (as defined in Section 3 below) of the original beneficiary, those balances not in excess of the maximum contribution amount will be moved, federal income tax free, to another 529 Plan account for your new designated beneficiary. If the new beneficiary is not a member of the family of the original beneficiary, your change will result in a taxable distribution. Earnings on

this distribution will be income taxable at the federal level to the Participant in the year the change is made. A federal penalty tax equal to 10% of earnings will also apply pursuant to Internal Revenue Code (IRC) Section 529. If the new beneficiary is a member of a generation younger than that of the original beneficiary, the transfer may be subject to federal gift and generation-skipping transfer taxes.

## 1. Participant (Owner)/Authorized Individual

Name	Fidelity Account Number
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## 2. Beneficiary

### Current Beneficiary

Name	Social Security or Taxpayer ID Number
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### New Beneficiary

Name	Social Security or Taxpayer ID Number
Date of Birth MM DD YYYY	New Beneficiary's State of Residence

Check one.  The new beneficiary has an EXISTING account. The proceeds from the original beneficiary's account will be invested into this account according to its existing allocation instructions unless you indicate a different allocation in Section 4.

Account Number
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The new beneficiary does NOT have an existing account. Complete a new Account Application and return it with this form. Indicate your investment strategy for your contributions (initial and future) in the Investment Selection section of the new Account Application.

Form continues on next page. ►►

### 3. Relationship Between Beneficiaries

Describe the relationship between your current beneficiary and your new beneficiary. A legally adopted child is treated as the child of the adoptive parent as if by blood. The terms "brother" and "sister" include half-brothers and half-sisters.

Member of Family *Eligible for a federal income tax-free transfer:*

- a son or daughter or a descendant of either
- a stepson or stepdaughter
- a brother, sister, stepbrother, or stepsister
- a father or mother or an ancestor of either
- a stepfather or stepmother
- a son or daughter of a brother or sister
- a brother or sister of the father or mother
- a son-in-law, daughter-in-law, father-in-law, mother-in-law, brother-in-law, or sister-in-law
- the spouse of any individuals described above
- a spouse
- a first cousin
- other *Subject to federal income tax and penalty tax on earnings distributed*

Relationship *Optional*

### 4. Instructions

Units in your current account will be sold and the proceeds will be invested in your account for your new beneficiary. Only that portion of your account balance that does not exceed the maximum allowable contribution amount for your new beneficiary will be transferred. Any amounts that cannot be transferred will remain in your account for your current beneficiary. You will be notified of any remaining balances.

- Check one.  Full Account Transfer
- Partial Account Transfer

Amount

\$

If you are requesting a Partial Account Transfer, please detail your liquidation instructions below:

Portfolio Name	Dollar Amount	<b>OR</b> <input type="checkbox"/> Full Portfolio Distribution
	\$ <input style="width: 100px;" type="text"/>	
Portfolio Name	Dollar Amount	<b>OR</b> <input type="checkbox"/> Full Portfolio Distribution
	\$ <input style="width: 100px;" type="text"/>	
Portfolio Name	Dollar Amount	<b>OR</b> <input type="checkbox"/> Full Portfolio Distribution
	\$ <input style="width: 100px;" type="text"/>	
Portfolio Name	Dollar Amount	<b>OR</b> <input type="checkbox"/> Full Portfolio Distribution
	\$ <input style="width: 100px;" type="text"/>	

- Check one.  Maintain current allocation designated on account. The proceeds from your current account will be invested into the account for your new beneficiary according to the allocation instructions on file for the new beneficiary's account, unless you check the box and indicate a different allocation below.
- Invest the proceeds from the current account into the account for the new beneficiary, as detailed below. (All future contributions to the new beneficiary's account will be invested according to the allocation instructions on file for the account.) Use increments of 5% only; the total must equal 100%. You can invest your UNIQUE College Investing Plan contributions based on one of the four options detailed below.

- Check ONLY one.
- Age-Based Portfolios (Fidelity Funds)** *Designed to generate returns that attempt to beat a composite of major market indices over the long term. These portfolios invest solely in Fidelity funds that are managed by dedicated portfolio managers who make investment decisions backed by Fidelity's proprietary investment research.*
  - Age-Based Portfolios (Fidelity Index)** *Designed to generate returns that closely mirror the performance of a composite of major market indices over the long term. These portfolios invest solely in Fidelity Index funds, and are able to keep transaction costs and other expenses low because they are passively managed. This means that the securities currently held in the respective index determine your investments.*
  - Age-Based Portfolios (Fidelity Blend)** *Designed to generate returns that attempt to beat a composite of major market indices over the long term. These portfolios invest in a combination of Fidelity® domestic equity funds, international equity funds, bond funds, and short-term funds (underlying Fidelity® funds), some of which are actively managed and others of which are passively managed, meaning they seek to provide investment results that correspond to the total return of a specific index.*

Instructions continue on next page. ►►



**Custom Strategy—Use increments of 5%.**  
 Create your own investment mix from any of the UNIQUE Plan Portfolios below.

Age-Based Portfolio (Fidelity Funds)	<input type="text"/>	%
Age-Based Portfolio (Fidelity Index)	<input type="text"/>	%
Age-Based Portfolio (Fidelity Blend)	<input type="text"/>	%
Aggressive Growth Portfolio (Fidelity Funds)	<input type="text"/>	%
Moderate Growth Portfolio (Fidelity Funds)	<input type="text"/>	%
Conservative Portfolio (Fidelity Funds)	<input type="text"/>	%
Aggressive Growth Portfolio (Fidelity Index)	<input type="text"/>	%
Moderate Growth Portfolio (Fidelity Index)	<input type="text"/>	%
Conservative Portfolio (Fidelity Index)	<input type="text"/>	%
Fidelity 500 Index Portfolio	<input type="text"/>	%
Total Market Index Portfolio	<input type="text"/>	%
International Index Portfolio	<input type="text"/>	%
Intermediate Treasury Index Portfolio	<input type="text"/>	%
Sustainable Multi-Asset Portfolio	<input type="text"/>	%
Stable Value Portfolio <sup>1</sup>	<input type="text"/>	%
Bank Deposit Portfolio <sup>2</sup>	<input type="text"/>	%
<b>Total (must equal 100%)</b>	<input type="text"/>	%

<sup>1</sup>UNIQUE College Investing Plan Participants invested in the Stable Value Portfolio may not move/exchange money from the Stable Value Portfolio to the Bank Deposit Portfolio. Please carefully review your Portfolio selection before investing in the UNIQUE College Investing Plan. You may want to consult with a financial or tax professional before investing.

<sup>2</sup>Although the underlying deposits are eligible for FDIC insurance, subject to applicable federal deposit insurance limits, the units of the Bank Deposit Portfolio are not insured or guaranteed by the FDIC or any other government agency. You are responsible for monitoring the total amount of your assets on deposit at the depository bank, including amounts held directly at the depository bank. All such deposits held in the same ownership capacity at the depository bank are subject to aggregation and to the current FDIC insurance coverage limitation of \$250,000. Please see a 529 fact kit for more details.

## 5. Authorization and Signature

By signing below, you:

- Authorize Fidelity to process this beneficiary change from the College Savings Plan account indicated in this instruction.
- Authorize Fidelity to sell units in your current account and transfer the proceeds to an account for your new beneficiary.
- Certify that all information you have provided in this form is true, accurate, and complete.
- Agree to indemnify us (jointly and severally, for accounts with more than one owner) from and hold us harmless for any and all losses, liabilities, claims, and costs (including reasonable attorneys' fees) resulting from our effecting any transaction or acting upon any instruction given by you or any authorized agent, advisor, or any third party you authorize on your account, or from your action or inaction, whether intentional or not, including losses resulting from the action or inaction of any authorized agent, advisor, or any other third party you authorize on your account.
- Ratify these written instructions and any telephone instructions given pursuant to this authority.
- Understand that all communications with us may be monitored or recorded, and you consent to this monitoring or recording.
- Understand that if the 529 plan account for the original beneficiary was established under a Uniform Gifts to Minors Act or Uniform Transfers to Minors Act (UGMA/UTMA), the assets belong to the minor/beneficiary and can only be used for the benefit of the minor/beneficiary and that the beneficiary on such an account may not be changed.
- Agree to adopt and be bound by the terms and conditions of the Customer Agreement, Participation Agreement, and Account Application as are currently in effect and as may be amended from time to time.

PRINT PARTICIPANT/AUTHORIZED INDIVIDUAL NAME	
PARTICIPANT/AUTHORIZED INDIVIDUAL SIGNATURE	DATE MM DD YYYY
SIGN <b>X</b>	<b>X</b>

<p><b>Did you sign the form?</b> Send the ENTIRE form to Fidelity Investments.</p> <p><b>Questions?</b> Go to <a href="https://www.fidelity.com/college">Fidelity.com/college</a> or call 800-544-1914.</p>	<p><b>Regular mail</b>                  Fidelity Investments                  Attn: CPSC                  PO Box 770001                  Cincinnati, OH 45277-0015</p>	<p><b>Overnight mail</b>                  Fidelity Investments                  Attn: CPSC                  100 Crosby Parkway KC1K                  Covington, KY 41015</p>
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*On this form, "Fidelity" means Fidelity Brokerage Services LLC and its affiliates. Brokerage services are provided by Fidelity Brokerage Services LLC, Member NYSE, SIPC. 450397.26.0 (03/23)*

