Print Reset Save

Fidelity INVESTMENTS

Fidelity Investments Life Insurance Company[1] Information Request Form

For more information about a Fidelity Investments Life Insurance Company (FILI) or Empire Fidelity Investments Life Insurance Company® annuity, please fill out the information below and submit it to your FILI Advisor Relationship Manager. Your licensed Advisor Relationship Manager will contact you if there are additional questions.

FROM:

Firm Name		
Primary Contact Name	Daytime Phone	Extension
G#(s) to be linked to this annuity. *Note: If no G#s are listed, contract will be linked to the primary G#.*		

1. Client Owner Information

Does the client want their annuity balance on their existing brokerage account statement?

☐ **Yes** If yes, account number:

☐ **No**

Account Number	Owner Email

Client Owner Name			Client Phone
Mailing Address			
City	State/Province	ZIP/Postal Code	Country

Social Security or Taxpayer ID Number	Date of Birth *MM DD YYYY*

Married: ☐ **Yes** ☐ **No**

Joint Owner Name *If applicable.*		
Social Security or Taxpayer ID Number	Date of Birth *MM DD YYYY*	Joint Owner Email

Are the owner and joint owner married?

☐ **Yes** ☐ **No**

Annuitants *If different from client owner.*

Name		
Social Security or Taxpayer ID Number	Date of Birth *MM DD YYYY*	Email

Name		
Social Security or Taxpayer ID Number	Date of Birth *MM DD YYYY*	Email

[1]In New York, Empire Fidelity Investments Life Insurance Company®, New York, N.Y.

Form continues on next page. ▶▶

2. Beneficiary Information *Of Client Owner*

Name of Person, Trust, or Entity	Relationship

Social Security or Taxpayer ID Number	Date of Birth or Trust *MM DD YYYY*	Share Percentage (Whole %)
		%

Name of Person, Trust, or Entity	Relationship

Social Security or Taxpayer ID Number	Date of Birth or Trust *MM DD YYYY*	Share Percentage (Whole %)
		%

Name of Person, Trust, or Entity	Relationship

Social Security or Taxpayer ID Number	Date of Birth or Trust *MM DD YYYY*	Share Percentage (Whole %)
		%

Additional beneficiaries can be added.
Please indicate on a separate page.

Total of all percentages must equal 100%.

3. 1035 Exchange Information ***Please include a copy of the statement with this form.***

Name of Surrendering Insurance Company	Full Name of Product at the Surrendering Insurance Company

Contract Number	Approximate Cash Value	Guaranteed Minimum Death Benefit
	$	$

Is the annuity contract being exchanged qualified?

- ☐ **Yes** *Note: Fidelity Personal Retirement Annuity® does not accept qualified monies.*
- ☐ **No**

Is this transfer to an existing annuity?

- ☐ **Yes** If yes, contract number:
- ☐ **No**

Contract Number

Does the existing annuity have surrender charges?

- ☐ **Yes** If yes:
- ☐ **No**

Surrender Charge Amount	Surrender Charge End Date *MM DD YYYY*
$	

Note: Provide the date if applicable, even if the date has passed.

Please explain the basis for recommending the annuity purchase or replacement transaction and why it's in the client's best interest:

Check all that apply. ▶

- ☐ Cost savings
- ☐ Consolidation
- ☐ Better customer service
- ☐ Fund selection
- ☐ Other:

Comment

1035 Exchange Information continues on next page. ▶▶

Please provide the following information based on the type of annuity being exchanged.

Variable Annuity

Mortality and Expense Charge (M&E) ______ %

Does the existing annuity have a fixed rate available?

☐ **Yes** If yes: Fixed Rate Amount ______ %
☐ **No**

Does the existing annuity have a living benefit base amount?

☐ **Yes** If yes: Living Benefit Base Amount $ ______
☐ **No**

Does the existing annuity have riders?

☐ **Yes** If yes: Rider Charges/Fee Amount ______ %
☐ **No**

Fixed Annuity

Current Interest Rate ______ %

Guaranteed Minimum Interest Rate ______ %

Indexed Annuity

Annuitization Value $ ______

Life Insurance

Planned Annual Premium $ ______

Reason for giving up the death benefit:

Check all that apply. ▶
☐ Client no longer wants to pay the premium.
☐ Client has other insurance products that meet coverage needs.
☐ Client has sufficient liquid assets to meet needs.
☐ Other: Comment ______

Form continues on next page. ▶▶

4. Investor Profile *This section must be complete; the application cannot be processed without this information.*

Total number of owner's and joint owner's dependents? Total Number

What is your risk tolerance for this investment?	☐ Conservative ☐ Balanced ☐ Growth ☐ Aggressive Growth ☐ Most Aggressive			
What is your annual income?	☐ Less than $25,000	☐ $25,000–$50,000	☐ $50,000–$100,000	☐ Greater than $100,000
Why are you purchasing the annuity?	☐ Tax-deferred accumulation	☐ Estate planning	☐ Other *If checked, comment required.* Comment	
What is your time horizon for this annuity? *How long until the client plans to surrender this annuity?*	☐ Less than 10 years *If checked, comment required.* Comment		☐ 10 or more years	☐ Annuitize upon retirement
What is your investment experience? *Please enter the number of years for each investment.*	☐ Stocks Years	☐ Bonds Years	☐ Mutual funds Years	☐ Annuities Years
What is your federal tax bracket?	☐ 12% or less	☐ 22% ☐ 24%	☐ 32% ☐ 35%	☐ 37%+
What is your estimated net worth? *Include all assets minus primary residence.*	☐ Less than $150,000	☐ $150,000–$500,000	☐ Greater than $500,000	
What percentage describes your monthly nondiscretionary expenses?	☐ Less than 50%	☐ 50%–80%	☐ Greater than 80%	

Are you retired?

☐ **Yes** If no: Occupation

☐ **No**

Is the joint owner retired?

☐ **Yes** If no: Occupation

☐ **No**

Your total investment in this and all other annuities: Amount $

Estimated liquid net worth: Amount $

Have you replaced an annuity contract within the past 36 months?

☐ **Yes**

☐ **No**

Investor Profile continues on next page. ▶▶

Do you have any existing life insurance policies or annuities?

☐ **Yes**
☐ **No**

Are either you or your spouse an active duty member of the United States Armed Forces?

☐ **Yes**
☐ **No**

Will a third party (power of attorney or attorney-in-fact) be appointed to act on your behalf for this annuity contract?

☐ **Yes**
☐ **No**

Have you been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return?

☐ **Yes**
☐ **No**

Registered investment advisors are not appointed agents of Fidelity Investments Life Insurance Company, Empire Fidelity Investments Life Insurance Company, and/or Fidelity Insurance Agency, Inc. Any recommendation and/or information they provide about any specific Fidelity annuity is done so in their capacity as registered investment advisors, not as licensed insurance representatives of Fidelity.

Fidelity Brokerage Services LLC, Member NYSE, SIPC. 522807.10.0 (02/22)